



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup> :

G01S 5/12, H04Q 7/38

A1

(11) International Publication Number:

WO 98/29758

(43) International Publication Date:

9 July 1998 (09.07.98)

(21) International Application Number: PCT/US97/23864

(22) International Filing Date: 23 December 1997 (23.12.97)

(30) Priority Data:

08/772,871

26 December 1996 (26.12.96) US

(71) Applicant: KSI INC. [US/US]; Suite 219, 7630 Little River Turnpike, Annandale, VA 22203 (US).

(72) Inventors: MALONEY, John, E.; 5753 Heming Avenue, Springfield, VA 22151 (US). HINKLE, Charles, J., Jr; 2425 Berkay Court, Vienna, VA 22181 (US). STEVENSON, James, O.; 4408 Manor Hall Lane, Fairfax, VA 22033 (US).

(74) Agent: ANTTON, D., Lee; Antton &amp; Associates, P.C., 5th floor, 1899 L Street, N.W., Washington, DC 20036 (US).

(81) Designated States: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, HU, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

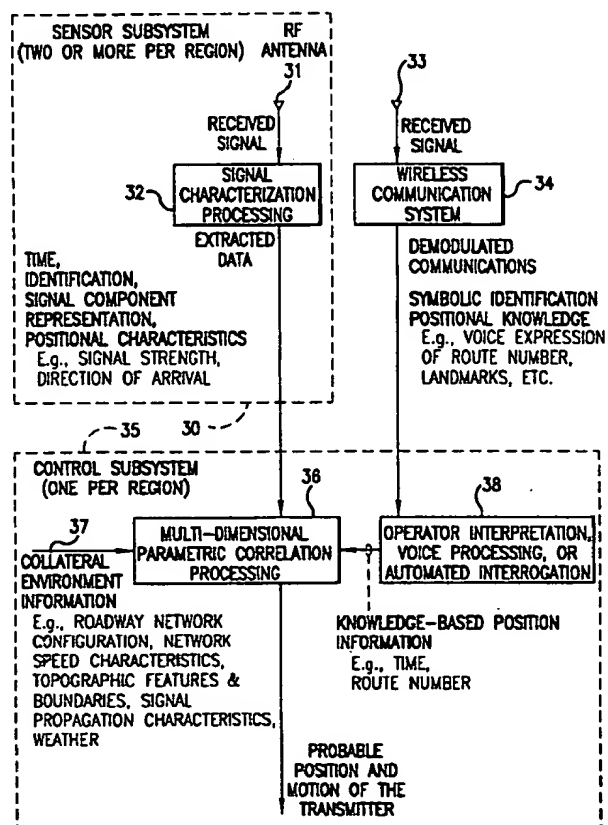
Published

With international search report.

(54) Title: COMMUNICATIONS LOCALIZATION SYSTEM

## (57) Abstract

A method and apparatus for locating a mobile radio communications transceiver in a cellular-telephone or cellular-like communications system use a simplified system for passive monitoring of the signals emitted by the transceiver. Two receiving base stations can determine the timing of signal arrivals from the remote transceiver. This timing information is then combined with collateral, position-sensitive information to determine the likely location of the transceiver. The present invention has particular applicability to roadway transportation in that it facilitates enhanced emergency services and roadside assistance in response to calls for assistance, and it permits the passive monitoring of traffic flow.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**COMMUNICATIONS LOCALIZATION SYSTEM****BACKGROUND AND SUMMARY OF THE INVENTION**

The present invention is directed to determining the geographic location of a mobile radio communications transmitter that is part of a wireless cellular communications system so as to facilitate emergency service responses, roadside assistance, traffic monitoring, or other services that can apply or be supported by the location information.

Cellular-telephone systems now provide ready access to wireless telephone communications. Cellular telephones typically operate in an analog system of frequency division multiple access (FDMA). Digital technologies, including time division multiple access (TDMA) or code division multiple access (CDMA), offer greater capacity and should give more individuals simultaneous access to cellular telephone services. In addition, "cellular-like" communications systems, such as a personal communication system (PCS), may further increase the number of individuals with access to a wireless communication network.

A cellular-telephone or cellular-like communication system is a system with a network of fixed base stations serving local areas (i.e., "cells") providing an integrated communication service to a plurality of mobile transmitter/receiver ("transceiver") units, e.g., cellular telephones. Such a communications network attempts to communicate with each transceiver from the base station which provides the optimal communication. The optimal base station is usually, but not necessarily, the one nearest the mobile transceiver. To provide the optimal communications support, the network need not locate the geographic position of the mobile transceiver more accurately than needed to determine which base station to use.

The inability of existing communication networks for cellular-telephone or cellular-like communication systems to accurately determine the location of a mobile transmitter is a major disadvantage in an emergency. For example, public safety officials in Los Angeles estimate that, today, a quarter of all who call the emergency number ("9-1-1") from a cellular telephone do not know where they are when they

indicate that in excess of sixty percent of traffic fatalities in the United States occur on rural roadways. Delays caused by uncertainty in location exacerbate the inherently longer response times for providing emergency services in rural areas.

The problem of locating the position of a mobile radio transceiver has been  
5 solved in many ways for many years but in systems other than that of a cellular-  
telephone or cellular-like communication system. No simple, low-cost solution has  
been found that is practical when applied to the wide-scale monitoring of mobile  
telephones. One practical difficulty in implementing any type of localization for  
mobile radio transceivers is the cost of the modifications either to the transceiver or  
10 to the communications network (infrastructure) that are needed to determine the  
location of the mobile transceiver. Any given transceiver would rarely, if ever, be  
used in placing a request for emergency or roadside assistance. Thus, the suppliers  
of transceivers and the operators of communications networks have little economic  
incentive to increase the complexity (and cost) of the transceivers or to install an  
15 extensive and expensive infrastructure to support such rarely used services absent  
government mandate. However unprofitable in the short term, the value of  
emergency assistance and roadside assistance services have unquestionable value  
for providing and enhancing personal and public safety. Ameliorating the increasing  
incidence of violence and the related, growing concern for personal security with a  
20 mobile communications system is a worthy policy goal with the potential for realizing  
enormous benefit to subscribers, network operators, and the general public alike.  
However, realizing the objective, even one so important and valuable, requires a  
practical, inexpensive infrastructure for uniquely identifying people requesting or  
reporting the need for assistance, communicating with them, and providing their  
25 locations to a responding assistant.

Techniques exist for accurately determining one's position in applications  
other than that of providing emergency or roadside assistance. For example, the

satellite-based Global Positioning System (GPS) allows determination of the location of the point of GPS signal reception with a special-purpose receiver for the wireless GPS signals that are broadcast from the satellites. However, obtaining the position of a communications transceiver by using GPS requires the mobile transceiver to  
5 include a GPS receiver. GPS receivers are expensive. Even if their cost were to be reduced through mass production, GPS receivers would still have to be integrated with all existing and future mobile transceivers. The cost associated with this solution seems to be prohibitive in view of the infrequency of use of the service and especially in terms of the large number of mobile transceivers already in use both in  
10 the U.S. and abroad for which the localization capability is desired.

Techniques also exist for locating the position of mobile communications transceivers by passively monitoring their radio emissions. However, with the simplest of approaches, radio localization does not take into consideration the distortions in apparent location caused by multipath interference (multipathing).  
15 Multipathing involves radio signals bouncing off of objects such as vehicles, buildings, hillsides, etc. Without consideration of these effects, the apparent position of the transceiver will be distorted. Multipath propagation is common for short-wavelength, radio communications since relatively smaller objects can reflect substantial amounts of the transmitted signals, and it is especially common in cities  
20 with buildings reflecting the signals. The potential, multipath-induced distortions in the apparent position of the mobile transceiver is therefore a problem that must be addressed in passively localizing radio emitters to support applications such as the provision of emergency or roadside assistance.

Multipath propagation conditions need not impede locating a transceiver  
25 when signal analysis and source localization procedures are used to ameliorate potential distortions in apparent position. For example, United States Patent No. 4,728,959 to Maloney *et al.* demonstrates how direction finding procedures, by which the direction angle of the arrival (DOA or AOA) of a signal can be measured, can be applied with two or more receiving base stations. Using the passive

monitoring of communication signals that is described in this patent to determine location is an excellent application in that it allows for locating a mobile transceiver anywhere in a service area of a network having at least two receiving stations of known location. The direction finding approach is simple and accurate, but requires 5 a directional antenna at each receiving site. With similar attention to multipath effects, the requirement for directional antennas can be mitigated by the use of time-difference-of-arrival (TDOA) measurements, which can be obtained with omnidirectional antennas and with accurate time-base maintenance facilities. Localization with TDOA measurements requires reception at three or more sites, 10 since each pair of sites only enables one TDOA measurement, and each TDOA measurement only specifies a hyperbola (in two dimensions) along which the transmitter can be. Even in a multipath-affected environment, TDOA measurements with at least a triplet of receiving sites can be analyzed to obtain transmitter locations. However, the necessity of requiring joint reception of a common signal at 15 three or more sites with time-maintenance facilities can increase the complexity and cost of the TDOA approach beyond what some cellular telephone or PCS companies are currently or may be willing to accept.

Often, in addition to timing and directional data that can be derived from received signal characteristics, other information is available or can be obtained that 20 relates to the position of a mobile radio transceiver. For example, in a system designed to provide emergency roadside assistance, we may presume that the person requesting assistance is in a vehicle that is on or near a road. Such a presumption may be verified, for example, by asking the person placing the call if he or she is on a road. This type of additional geographic or topological information, 25 called here "collateral information," is of a type that is normally available to a dispatcher. Combining collateral information with the timing information from two (rather than three or more) base stations can define the location of a mobile radio transceiver well enough to make it possible to dispatch emergency and roadside assistance services. The derivation of the position of the transceiver solely from

observed characteristics of its radio emissions received at two sites is adequate, and the need for additional base stations to derive location thus becomes redundant. However, no proposal to date has sought to use such collateral information to make redundant the need for additional base stations.

5        Monitoring mobile transceivers that are located on vehicles has advantages other than providing support for responses to requests for assistance. One such advantage is enabling the cost effective monitoring of traffic flow. Unplanned traffic incidents ("traffic jams") clog the highways with a resulting deleterious effects on safety, environment, and economy. The volume of message traffic in a major  
10 metropolitan area is a type of collateral information, and it can be combined with observed location- and speed-related information and topographic information (e.g., road maps), to indicate which roads are passable and which are congested. However, traffic flow information, emergency services, and roadside assistance, are not the primary reason for establishing a communication system and thus are not  
15 provided currently by communications systems. The cost of adding equipment to the communications infrastructure to provide traffic flow information seems justifiable to communications companies only if it can be done using the most modest of infrastructure enhancements.

Today, techniques exist that provide partial and complex solutions to the  
20 problem of providing geographical locations with sufficient accuracy to aid emergency and roadside assistance personnel. However, such systems rely on observed information derived from two or more directional receptions, and three or more time-tagged receptions of radio emissions, or on navigation information from devices extraneous to the communications transceiver. No system seeks to obtain  
25 location information from the combination of observed timing information, derived from only a pair of communications radio receptions, with collateral information obtained, for example, from street maps. Therefore, it is an object of the present invention to provide a simple and effective way to identify and locate a mobile radio transceiver in any wireless communication system, including those already existing

or that are contemplated, such as those for personal communication systems (PCSs), cellular telephones, specialized mobile radios (SMRs), and personal digital assistants (PDAs). It is an object of the present invention to provide an automatic location identification (ALI) and an automatic "number" identification (ANI) that facilitates national and international rural and urban emergency notification and personal security, and roadway monitoring by combining observed information derived from received radio emissions with collateral information derived from street maps, user descriptions, and other information sources.

It is also an objective of the present invention to include: providing a system in which location and identification are provided cheaply as adjuncts to communications for national and international wireless enhanced 9-1-1 (E9-1-1) emergency and routine roadside assistance notification; estimating roadway speed and providing general transportation information such as traffic congestion and flow characterization; providing such capability in a system which is both relatively easy to deploy and inexpensive to construct; providing a system which has a transportable configuration and, therefore, can be used to temporarily monitor localized regions such as road construction areas or the localities of special events such as sporting competitions, conventions, or concerts; providing a combination of processes and attributes to form an inexpensive yet robust system for localization and identification as an adjunct to a communications system.

The present invention provides an apparatus for locating a mobile radio communications transceiver in a wireless communications system that comprises two sensor stations of known location, each sensor station having a receiving antenna to receive a radio signal from the mobile transceiver, a clock mechanism such as a GPS-based receiver to maintain a synchronous inter-site time standard, a signal characterization processing unit for determining the time of arrival (TOA) of a specific component of the radio signal transmitted from the mobile radio transceiver to the sensor station, a source of collateral information about other signal characteristics or the environment of operation of the mobile transceiver, a



multidimensional parametric correlation processing unit for determining a probable position of the mobile transceiver from TDOA and associated collateral information, and an output indicative of the probable position of the mobile transceiver.

The present invention provides for locating a mobile radio transceiver in a cellular-telephone or cellular-like communications system using a simplified system for passively monitoring signals emitted by the mobile transceiver. In this invention, the processing at two receiving base stations of known location with inter-site synchronization determines a TDOA locus (e.g., two dimensional hyperbola) for the mobile transceiver location. This TDOA locus is then combined with collateral information to determine the likely location of the transceiver. The present invention has particular applicability to roadway transportation in that it facilitates emergency (9-1-1) services and roadside assistance, and it permits the passive monitoring of traffic flow. The collateral information includes location information derived from other than radio location methods. Such information can include the topological information of a map of the roadways in the area of the base station, or other information such as derived speed, if any, of the transceiver, or information obtained from communications from the caller in person or from equipment at the caller's location.

The present invention does not require determining position by combining two or more equivalent hyperbola from three or more base stations; two base stations can be enough. This capability may have particular usefulness in a CDMA communications network in which increased capacity is obtained through dynamic power control so that fewer base stations are likely to receive a transceiver's emissions. Nevertheless, there is nothing in the present invention that precludes using more than two base stations to further confirm the accuracy of a location or to permit locating mobile radio transceivers for which collateral information is not otherwise available. The ability to determine location from two sites using TOA/TDOA methodology has particular benefit for providing emergency assistance in that two-site reception is applicable in more environments, requires less

infrastructure, and offers greatly reduced cost. The present invention is particularly useful for monitoring traffic in rural areas, where there are fewer roads with cells often located close to roads, and whose signal capture at three or more sites is highly unlikely. Thus, in rural areas, collateral information in the form of roadway topology better indicates the location of the mobile transceiver along the observed TDOA locus (hyperbola). The present invention also provides a method and apparatus for locating a mobile radio transceiver in a wireless communications system, comprising two or more sensor stations of known location, a method and means for determining a TDOA locus for the mobile radio communications transceiver, and a method and means for combining collateral information with the TDOA locus to determine the location of the mobile radio transceiver.

The present invention has the advantage of being able to determine the location of a mobile radio transceiver without requiring an embedded or integrated special-purpose device, such as a GPS receiver, with the mobile transceiver. Indeed, the present invention enables the localization of all existing cellular telephones. The cost of deploying a location system of the present invention is low. This low start up cost means that the system can be deployed faster so that consumers can realize the benefits sooner and at less expense.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 illustrates the locations of a cellular telephone transceiver that can be obtained through the present invention by the correlation of time-difference-of-arrival (TDOA) information with the road location information inherent in a road network map.

Fig. 2 shows an expanded view of the intersections of the TDOA lines of Fig. 1 with the road of interest.

Fig. 3 shows the functional components of the system that enables the integration of TDOA and other characteristic signal information with the collateral

geographic information derived from other sources to obtain the locations of wireless transceivers under normal communications operations.

Fig. 4 shows a display of the intersections for TDOA data measurements with the road being traveled by an active cellular telephone, allowing the calculation of speed and direction of motion estimates.

Fig. 5 shows a configuration for a system that applies the functions shown in Fig. 3.

### DETAILED DESCRIPTION

Fig. 1 shows how the present invention can determine the location of a mobile transceiver. Fig. 1 shows collateral information in the form of a street map of a portion of Annandale, Virginia, in the United States, and a mobile radio transceiver in the form of a cellular telephone. A vehicle having the cellular telephone is in the localization area labeled 1 along a highway 2 (Virginia (VA) Route 50). Sensor stations located at positions 10 and 11 are used to determine a series of TDOA loci 3-8 at different times.

The TDOA loci 3-8 are overlaid on the topological data 20 that represents the street map of the area. The data for these vectorized maps of urban areas are readily available from, for example, ETAK Inc., in Menlo Park, California, Navigation Technologies, in Sunnyvale, California, Roadnet Technologies, Inc., in Timonium, Maryland, or the Bureau of the Census, U. S. Department of Commerce, in Washington, DC. These maps represent collateral information in the form of the topology of the area in which the mobile radio transceiver operates. The present invention seeks to use such collateral information to enable a control station (also at 10 in Fig. 1) to use TDOA loci obtained from two sensor stations together with the collateral information to determine the location of mobile radio transceivers operating in area 1.

Fig. 2 shows an expanded view of the localization area 1 shown in Fig. 1. The TDOA loci 3-8 are shown as crossing the streets labeled 9 (Parkwood Terrace)

and 11 (Marc Drive). From any one of the TDOA loci 3-8, there is no way to determine on which of the streets 2, 9, or 11 the mobile transceiver is located. However, any two of the TDOA loci, for example 3 and 4, provides a TDOA change j corresponding to some geographic distance covered by the mobile transceiver in  
5 area 1. Recording the time at which each of the TDOA loci are measured enables the control system 10 to determine an approximate speed of travel for the mobile transceiver in area 1. A high rate of travel, say 80 km/hr (50 mph), for a communicating cellular telephone implies that the mobile transceiver is on the main highway 2 (VA Route 50) rather than one of the residential streets 9 or 11 where the  
10 posted speed limit, 40 km/hr (25 mph), is half the observed rate. Thus, by applying collateral information in the form of the relative posted speed limits or the average speed distributions for the roads 2, 9, and 11 in Fig. 2, the control station 10 can infer on which street to place the probable localization area 1.

In the preceding example, it might appear that the control station 10 can not  
15 determine whether the mobile transceiver is on the main highway 2 or some other streets 9 or 11 solely from TDOA loci unless the transceiver is also moving at a high rate of speed. For the localization of a stationary transceiver, emergency (9-1-1) assistance would require some other form of collateral information. For example, the assisting dispatcher could obtain additional information by asking the parties  
20 requesting the assistance whether they are on a major road and, if not, seek some other form of descriptive information, such as street names or known landmarks, that would distinguish side streets 9 from 11. The geographic information inherent in such solicited data, when combined with the positional information in the TDOA measurements, enables the estimation of the transceivers' locations.

25 Moreover, even the absence of motion has significance for other purposes such as monitoring traffic flow. Based on common traffic characteristics, the control station 10 could presume that most cellular telephone calls from the region of area 1 would originate from the main highway 2. The transceivers should predominantly exhibit a ground speed corresponding to the posted limits of the road. If the

characteristic speeds of such transceivers are observed to be significantly below that of normal roadway conditions in or around area 1, such information would suggest that the main highway 2 is abnormally congested. Traffic alerts could be issued indicating the congestion, and emergency or other service vehicles could be dispatched to investigate the origin of the congestion if it suggests that an accident has occurred. None of these functions require additional information other than the timings of different TDOA loci and knowledge of normal road characteristics, but could always be augmented by such information if any is available.

Fig. 3 shows a block diagram of a system to carry out the present invention.

10 A sensor subsystem 30 includes a RF antenna 31 that is connected to a signal characterization processing unit 32. The RF antenna 31 can be the existing communications antenna. The signal characterization processing unit 32 identifies the time at which the RF signal measurement is obtained based on synchronized inter-site timing standards such as GPS receivers. The sensor system passively

15 receives the radio frequency signals that occur in the normal use of the wireless communication system 32 and converts them into information for the control system 35 which is described below. This information includes the time at which a processed signal component arrived, a representation of the component (if needed), and the identification of its transceiver. This information can also include

20 observations of collateral, position-related characteristics such as direction of motion or rate of change in TDOA (relative Doppler shift), signal strength, direction of signal arrival or its rate of change, and even two-way signal travel time in a communications system of very tightly controlled transceivers with transponding protocols and large signal bandwidth. By extending the time duration (i.e., the

25 integration time or "dwell" time) over which the analyses of the captured signal are performed, the processing can produce the measure of the rate of change of TDOA (i.e., the relative Doppler shift), as well as of the TDOA itself. This rate of change can be detectable from the extended measurement process because the standard assumption of constant TDOA during the measurement process will not be valid and

will produce degraded measurements when the signal is received from a perceptibly moving radio transceiver. The rate of change of TDOA is related to the perpendicular velocity of motion of the communicating transceiver. As an added measure of the transceiver position, the characterization processing can determine  
5 the received signal power (i.e., the variance or mean square of the bias-free signal level). The signal power is indicative (through signal propagation evaluations discussed further below) of the range or distance from the receiving site to the mobile transceiver, and the rate of change of signal power or other power variation characteristics can be indicative not only of the radial speed of movement of the  
10 transceiver, but also of the physical obstructions or multipath interference causes that are known to accompany signal propagation from known geographic regions. Unobstructed two-way signal travel time is proportional to twice the range from receiving site to the location of the mobile transceiver. All of the measured physical characteristics of a received radio signal form the bases for localization processing  
15 in which the measurements are correlated with known relations to hypothesized transceiver position and motion.

The information of the measurement times and uncertainties is collected from two sensor stations and inserted into a multi-dimensional parametric correlation processing unit 36. The processing unit 36 combines the timing information with  
20 other, collateral information from inputs 32, 37, or 38 to determine the location of the vehicle. The phrase "collateral information" applies to observed characteristics that augment the timing data and also includes information derived from sources other than the radio emissions of the mobile radio transceiver. Collateral information includes information on the environment in which the mobile transceiver is believed  
25 to be operating, e.g., the configuration of the roadway network, topographical features and boundaries, signal propagation characteristics, information on the weather and its effect on signal propagation and roadway traffic conditions, and also includes verbalized or other description of route number, road name, speed, nearby landmarks, or other position-sensitive information communicated from the mobile

transceiver. The roadway and topographical representation data is available from distributors, such as those identified above for mapping data, of databases for geographic information systems. Additional data representing the posted speed limits of the road sections contained in the data bases are also generally available  
5 from the map data producers or alternatively from state government transportation departments. Additionally, the characteristic speed distributions of traffic on various road sections as a function of time of day, weather conditions, day of week, and season of year are often available from traffic flow studies that are routinely conducted by state departments of transportation. Alternatively, such data  
10 characterizing the positional dependencies of traffic flow can be accumulated from the flow statistics collected with the present invention in unambiguous events, and can then be recursively updated to obtain more rapid and robust determinations as the statistical precision of the databases is augmented. The relationship between signal strength (i.e., power) and range is supporting information that can be available  
15 in a database as collateral data representing signal propagation characteristics. A standard relation between strength and range is that the received signal strength or power is inversely proportional to the square of range. However, in the multipath environment which normally characterizes short wavelength communications (such as that of cellular systems), the strength can be typically proportional to the inverse  
20 of the second to sixth power of the range and is highly dependent upon the direction of signal arrival and the weather conditions. Thus the utility of signal strength as an indicator of range depends on the accuracy to which the data base of collateral information represents the strength-to-range transformation, i.e., the signal propagation characteristics. For an approximate correlation of measures of signal  
25 power to the estimated range from receiver to mobile transceiver, signal propagation analyses can apply the static or dynamic projections of RF propagation predictions. Computer software facilities for such signal propagation projections are available from Applied Spectrum Research, in Boulder, Colorado, C.E.T., Inc., in Edgewater, Florida, SoftWright, in Denver, Colorado, or H2A Communications, in Moscow,

Idaho. The propagation analyses can account for weather effects, ground topography and composition, building heights, and directionally dependent interference or background noise, as desired.

Knowledge of the terrain conditions along the approximate paths of signal arrival can be used in estimating their effect on signal propagation. Furthermore, geographic features, such as hills or water boundaries, limit the domain of candidate positions at which the transceiver could likely be located in known ways. Thus, such topographic information can also be used as collateral information to enhance the efficiency and accuracy of any determination of location.

10 In rural areas, it is contemplated that correlating the TDOA information with collateral information in the form of topological map-matching (i.e., matching of location information to the known geographic locations of roads or other features of the landscape) would be enough in most instances to monitor traffic flow along main roads as well as to facilitate the dispatch of emergency vehicles and roadside  
15 assistance. Rural areas have relatively few roads such that the intersection of one with a TDOA locus for the two sensor stations and the mobile radio transceiver would be sufficient to uniquely identify the probable position of the mobile radio transceiver.

In urban areas, it is thought to be less likely that the timing information and  
20 roadway map information will be enough, by and of itself, to uniquely locate the position of the mobile radio transceiver. In such instances additional information may be needed. The present invention contemplates applying collateral information in a knowledge-based position information processor 38. Processor 38 could integrate information from additional sources such as the geographic representation  
25 of the knowledge and judgment of an operator of an emergency assistance (9-1-1) center regarding the apparent position or probable region of the mobile radio transceiver.



The present invention also contemplates receiving supporting, descriptive information from the wireless communications system. The position-dependent information extracted from the received RF signal can be augmented with collateral information from the wireless communications system 34 in Fig. 3. RF antenna 33 can be, for example, the base station of a cellular telephone system that is nearest the remote transceiver, e.g. cellular telephone. The wireless communication system 34 tends to the demodulation of the communications from the remote transceiver. The descriptive information received through the wireless communication system can include positional knowledge such as voice expression of the fact that the transmission is from a vehicle on a roadway or the name of the road on which the mobile transceiver is traveling, which can be transformed into symbolic identification for use as collateral information in the correlated localization processing with TDOA measurements. In the case of requests for assistance (such as in 9-1-1 calls), the answering operator routinely asks for the persons placing the calls to identify their calling numbers and their locations. Callers who do not know where they are can still describe their surroundings over the telephone. Thus, the present invention is designed to aid the assisting operator by exploiting information that the operator can rapidly elicit to quickly provide accurate locations. With the expression of a route number or street name from a caller, the correlated intersection of that road with an estimated time difference of signal arrival quickly provides the probable location of the caller. When the route of travel is not known by the caller, the assisting operator can solicit information about the speed of travel and the proximity of prominent landmarks that are along the observed time difference of signal arrival. All such position-related information, whether obtained manually or by automated analysis, can then be transformed into geographical form through graphical interaction or automated geographic interpretation for inclusion in the correlated evaluation with the extracted signal characteristics.

The entry of the geographical knowledge or understanding of the operator or human information source may be assisted through graphical interaction between

the human and a work station terminal equipped with a graphical pointing device for automated point determination. While observing a computer-driven map display of the relevant area of roads, the operator could use the graphical input device to select an approximate position, a road identified by a caller's voice, or an ellipse or 5 polygon of probable location based on communicated descriptions. Mathematical transformations for conversions of position representations between planar projection coordinates used in geographic displays and geodetic reference coordinates used with navigation and location reference systems are described in U.S. Geological Survey Professional Paper 1395, titled "Map Projections--A Working 10 Manual", by John P. Snyder, incorporated herein by reference.

Alternatively, the operator could provide a textual entry of a communicated or inferred road name, a speed, a landmark, or a road intersection that may be communicated, perhaps in response to the operator's queries. This textual data could then be converted into approximate position information through correlation 15 with a text-to-position transformation database such as that contained in addressing databases of the United Parcel Service maps or the 9-1-1 databases of the Public Service Answering Points. In advanced system implementations, the queries for correlated information could be solicited under automated control by voice synthesis or by computer interaction with a processor integrated into a vehicle's or user's 20 communication device, and the responses could be analyzed by voice recognition processing or direct data interface for entry into the correlation processing with the extracted timing and associated characteristic measurements.

The multi-dimensional parametric correlation processing unit 36 in Fig. 3 combines the disparate parametric information from inputs 32, 37, and 38 to yield a 25 probabilistic description of the location and motion of the mobile transceiver. The knowledge representation of the input information and its uncertainty can take numerous forms, such as discrete attribute vectors in which each element of the vector represents the value of a particular discrete attribute where the values may be boolean, integer, floating point, or symbolic, and particular choices of the values will

have attendant confidences; continuous numeric parameters with associated statistical errors; and/or fuzzy logic parameters. The correlation process can employ numerous engines and uncertainty management systems, each suited to the appropriate knowledge representation, such as maximum likelihood or least squares estimators, joint probabilistic data association algorithms, probability density function multi-target tracking systems for continuous parameters; multi-hypothesis uncertainty management systems; rule-based expert systems with multi-confidence production rules that combine discrete logical assertions with continuous numeric information; fuzzy logic engines; and causal belief networks.

10       As an example of the correlation processing, consider the case where a mobile transceiver is detected moving in an urban setting in ignorance of the road along which it is traveling but with some knowledge of nearby landmarks. The TDOA locus with its attendant location confidence band can be found to intersect numerous roads, landmarks, rivers, and bodies of water, for example, by  
15 rasterization of these objects and subsequent pixel overlay detections, followed by interrogation of databases of these objects to find candidates for the intersections or candidates for objects near intersections of TDOA loci with other objects, such as landmarks nearest the TDOA intersections with roads. The results of these interrogations will be captured in an appropriate knowledge representation. Applying  
20 constraints, via uncertainty management, obtained from a priori ranges for cell sites, as well as more specific signal strength, or from caller descriptions of nearby landmarks, can reduce the probabilities or confidences for some candidates and increase those for others.

Fig. 4 shows how to calculate the speed and direction of a mobile radio  
25 transceiver using information derived from successive measurements of time differences of signal arrival with the example of a mobile radio transceiver 40 moving down a limited access highway 41 (Interstate highway 495 is shown). The operator or processor indicates points of intersection of TDOA loci with a designated roadway (map-matching). The processor calculates the average speed between successive

points. The rate of change of time difference of arrival of a signal from the mobile radio transceiver 40 is proportional to the component of velocity of the transceiver that is perpendicular to the TDOA locus for the receivers and the mobile transceiver. With the projection of this component onto the tangent to a candidate road at the 5 point of intersection of the TDOA loci with the road, the corresponding speed and direction of motion can be estimated. Alternatively, the successive observation of time differences of arrival and the evaluation of the motion implications of their intersections with candidate road trajectories enable the more accurate estimation of motion parameters. Through correlation with a representation of appropriate road 10 network speed distributions, further assessment of the probabilities that the estimated speeds could reasonably occur for each candidate route enables an even more likely estimate of the actual route being followed by the mobile transceiver.

The apparatus for the present invention can include general purpose processing facilities such as those of micro-processor workstations based on the 15 Intel 80486 or Pentium central processing units (CPUs) or the Motorola 68040 or PowerPC CPUs. The correlation calculations will determine the optimal associations of measured timings and other possible characteristics, communicated information, and/or human assessments with the supporting, collateral information stored on mass storage facilities such as the disks, CD ROMs, bubble memories, and tape 20 drives common to such workstations and similar database servers. For rapid access to large quantities of geographical data of various types, the high-usage, mass-storage facilities should be interfaced to the data processing facilities via high-throughput, data communications paths, such as those employing direct processor-bus disk interfaces and ethernet interprocessor networks.

25 Fig. 5 shows an implementation of the present invention applied as a way to monitor traffic flow using an existing cellular telephone network as the wireless communications system. The objective is to use a mobile transceiver 40 as a probe to determine the traffic flow along the highway 51. The representative cellular telephone 40 emits radio frequency signals that the receiver 32 at the sensor

stations 10 and 11 intercept. Preferably, the information pertaining to the timing of signal capture and other observed signal characteristics is then transmitted to a control station or traffic management center 53 -- preference should be given to transmitting timing and other characteristic information rather than the received  
5 signal or other such basic data to minimize the amount of information that is being transmitted. The TDOA and correlated information is processed and can then be displayed on a computer monitor 54 together with other useful information derived therefrom such as the rate of travel of the vehicle on the highway 51.

The principles, preferred embodiments and modes of operation of the  
10 present invention have been set forth in the foregoing specification. The embodiment disclosed herein should be interpreted as illustrating the present invention and not as restricting it. The foregoing disclosure is not intended to limit the range of equivalent structure available to a person of ordinary skill in the art in any way, but rather to expand the range of equivalent structures in ways not  
15 previously envisioned. Numerous variations and changes can be made to the foregoing illustrative embodiments without departing from the scope and spirit of the present invention as set forth in the appended claims.

## WHAT IS CLAIMED IS:

1. An apparatus for locating a mobile radio communications transceiver in a wireless communications system, comprising:

two sensor stations of substantially known location, each sensor station having an inter-site synchronization mechanism for common time standard maintenance,

a signal characterization processing unit for determining from the radio signal time of signal arrival information,

a source of collateral information about the mobile transceiver,

a multidimensional parametric correlation processing unit for determining a probable position of the mobile transceiver from the signal timing information and the collateral information, and

an output indicative of the probable position of the mobile transceiver.

2. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 1, wherein the collateral information comprises databased information.

3. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 2, wherein the databased information comprises signal propagation characteristics of the operating environment of the mobile transceiver.

4. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 2, wherein the databased information comprises traffic flow characteristics.

5. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 4, wherein the traffic flow characteristics comprise speed distribution information.
6. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 2, wherein the databased information comprises localized event information.
7. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 2, wherein the databased information comprises descriptions of users of the wireless communication system.
8. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 2, wherein the databased information comprises topological information.
9. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 8, wherein the topological information comprises map information.
10. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 8, wherein the topological information comprises roadway configuration information.
11. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 10, wherein the roadway configuration information comprises speed limit information.
12. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 8, wherein the topological information comprises information concerning landmarks.

13. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 1, wherein the collateral information comprises communicated information.

14. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 13, wherein the communicated information comprises input from an operator.

15. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 13, wherein the communicated information comprises information on traffic conditions.

16. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 13, wherein the communicated information comprises road identification information.

17. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 1, wherein the collateral information comprises a measured physical characteristic of the radio signal in addition to the time of arrival information.

18. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 17, wherein the additional measured physical characteristic comprises signal strength.

19. An apparatus for locating a mobile radio communications transceiver in a wireless communications system as claimed in claim 17, wherein the additional measured physical characteristic comprises two way signal travel time.

20. An apparatus for locating a mobile radio communications transceiver as claimed in claim 1, wherein the inter-site synchronization mechanism comprises a GPS receiver.



21. A method for locating a mobile radio communications transceiver in a cellular or cellular-like communications system, comprising:

measuring the timing of signal arrivals at two sensor stations of known location for radio emissions from the mobile transceiver,

inputting collateral information related to the location of the mobile transceiver,

correlating a probable position of the mobile transceiver with the timing information and the collateral information, and

indicating a probable position of the mobile transceiver.

22. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 21, wherein the collateral information comprises databased information.

23. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the databased information comprises signal propagation characteristics of the operating environment of the mobile transceiver.

24. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the databased information comprises traffic flow characteristics.

25. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the traffic flow characteristics comprise speed distribution information.

26. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the databased information comprises localized event information.
27. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the databased information comprises descriptions of users of the wireless communication system.
28. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 22, wherein the databased information comprises inputting topological information.
29. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 28, wherein the topological information comprises map information.
30. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 28, wherein the topological information comprises roadway configuration information.
31. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 28, wherein the topological information comprises speed limit information.
32. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 28, wherein the topological information comprises information concerning landmarks.
33. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 21, wherein the collateral information comprises communicated information.

34. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 33, wherein the communicated information comprises input from an operator.

35. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 33, wherein the communicated information comprises information on traffic conditions.

36. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 21, wherein the collateral information comprises a measured physical characteristic of the radio signal in addition to the signal timing information.

37. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 36, wherein the additional measured physical characteristic comprises signal strength information.

38. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 36, wherein the additional measured physical characteristic comprises two way signal travel time.

39. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 36, wherein the additional measured physical characteristic comprises measuring a direction of arrival of the signal from the mobile radio transceiver.

40. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 21, wherein the collateral information comprises information supplied by the wireless communication system.

41. A method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 40, wherein the information supplied by the wireless communication system comprises signal strength information.

42. An method for locating a mobile radio communications transceiver in a cellular communications system as claimed in claim 41, further comprising measuring a plurality of signal timings from the two sensor stations and determining the relative motion of the plurality of lines of bearing from the plurality of signal timings.

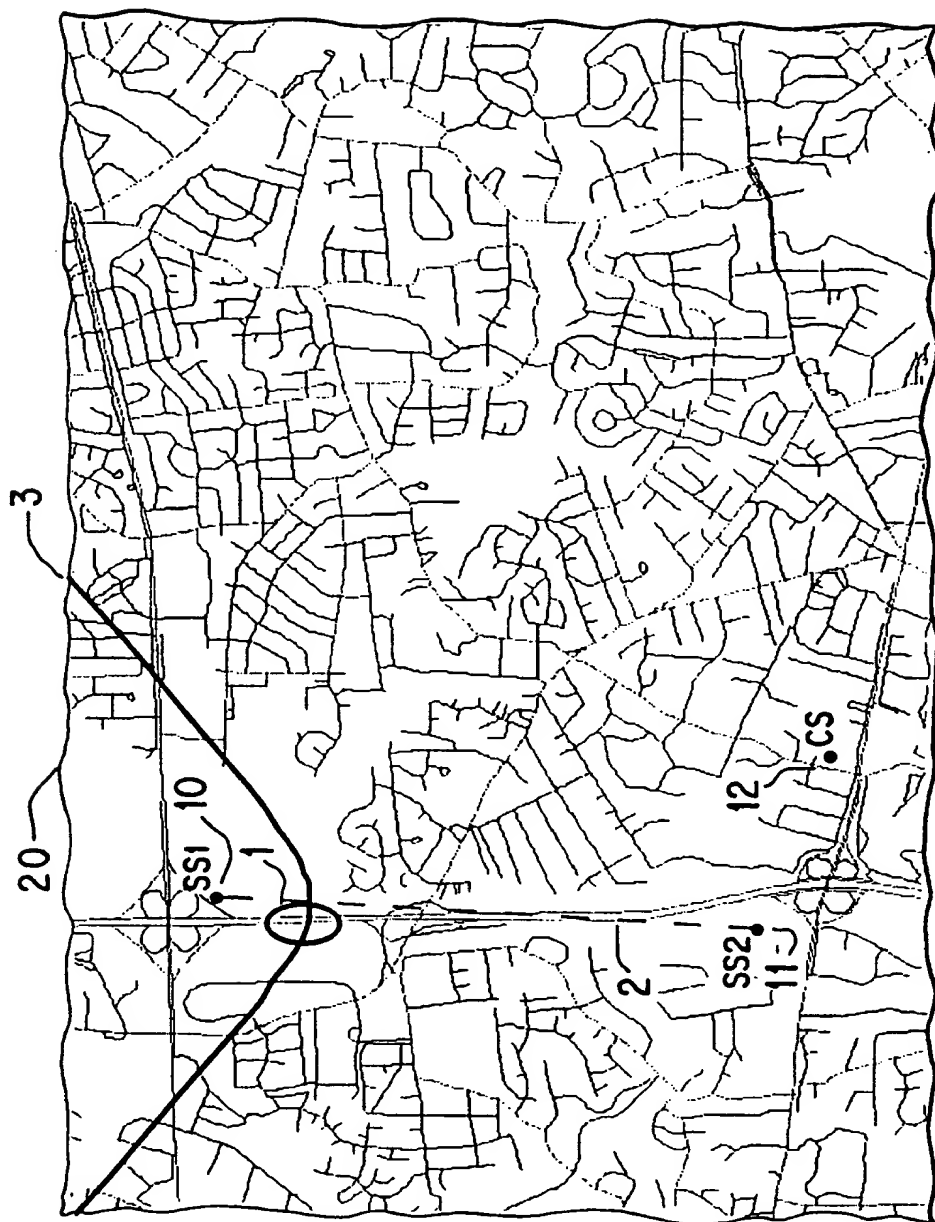


FIG. 1

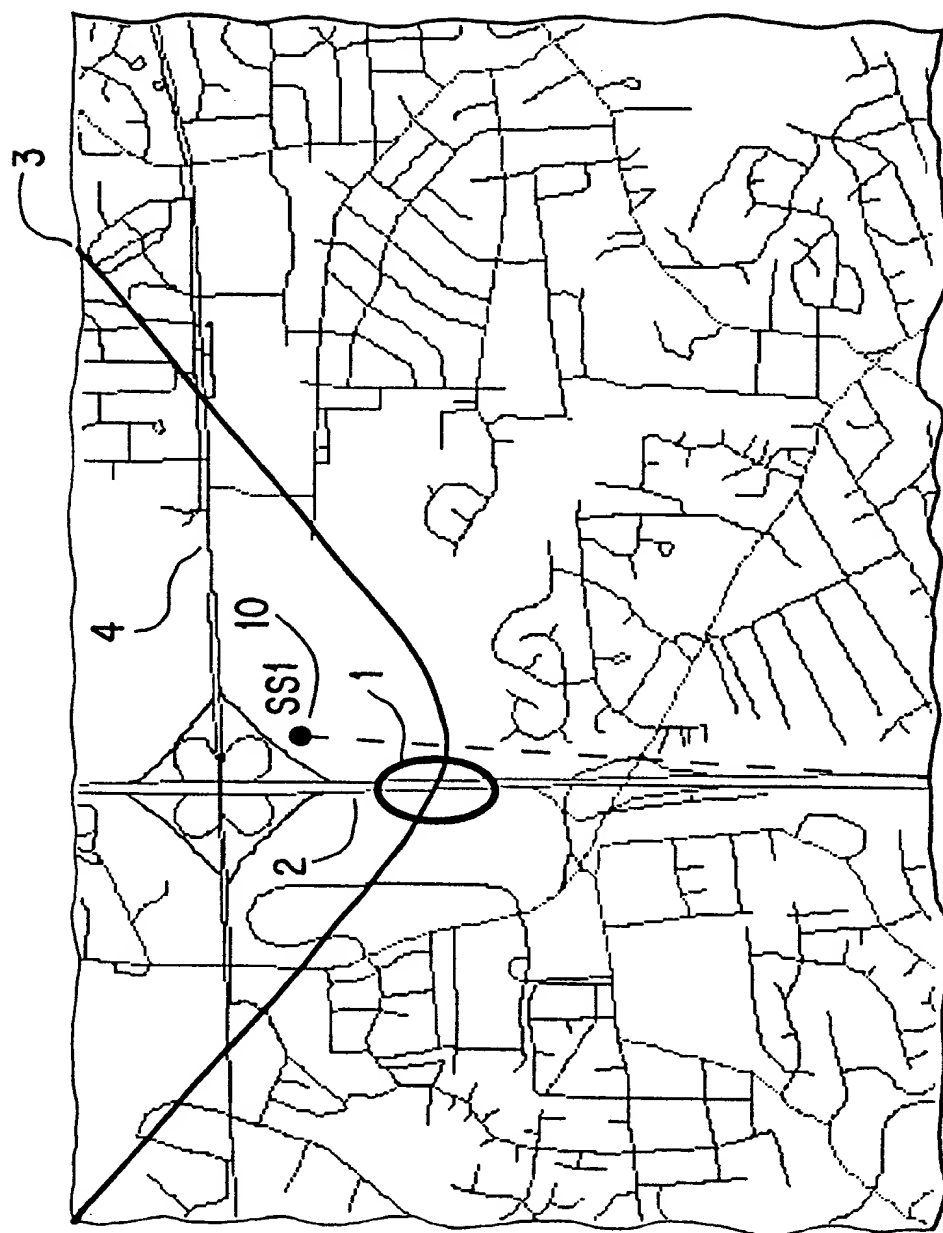


FIG. 2

3/5

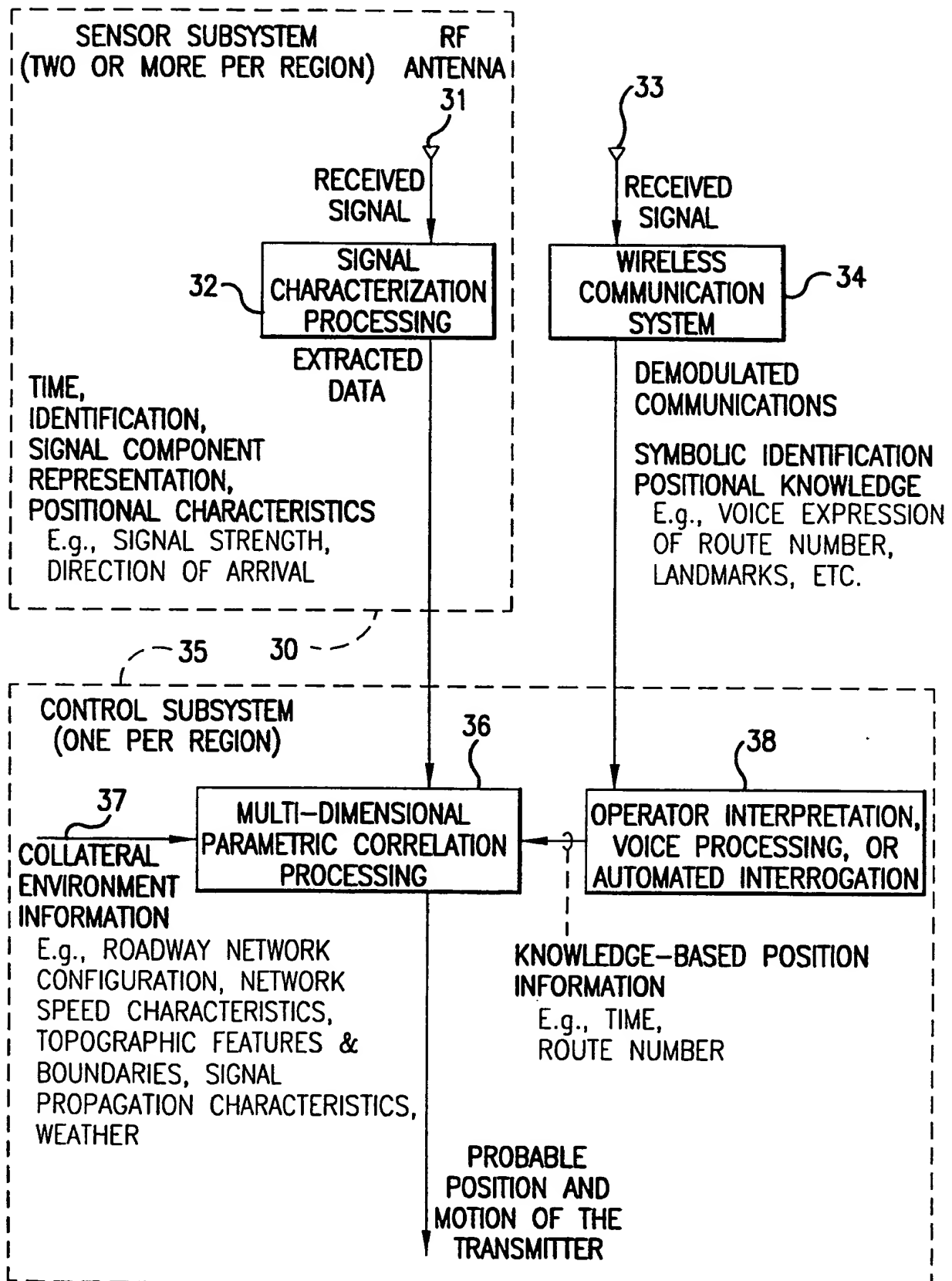


FIG.3

SUBSTITUTE SHEET (RULE 26)

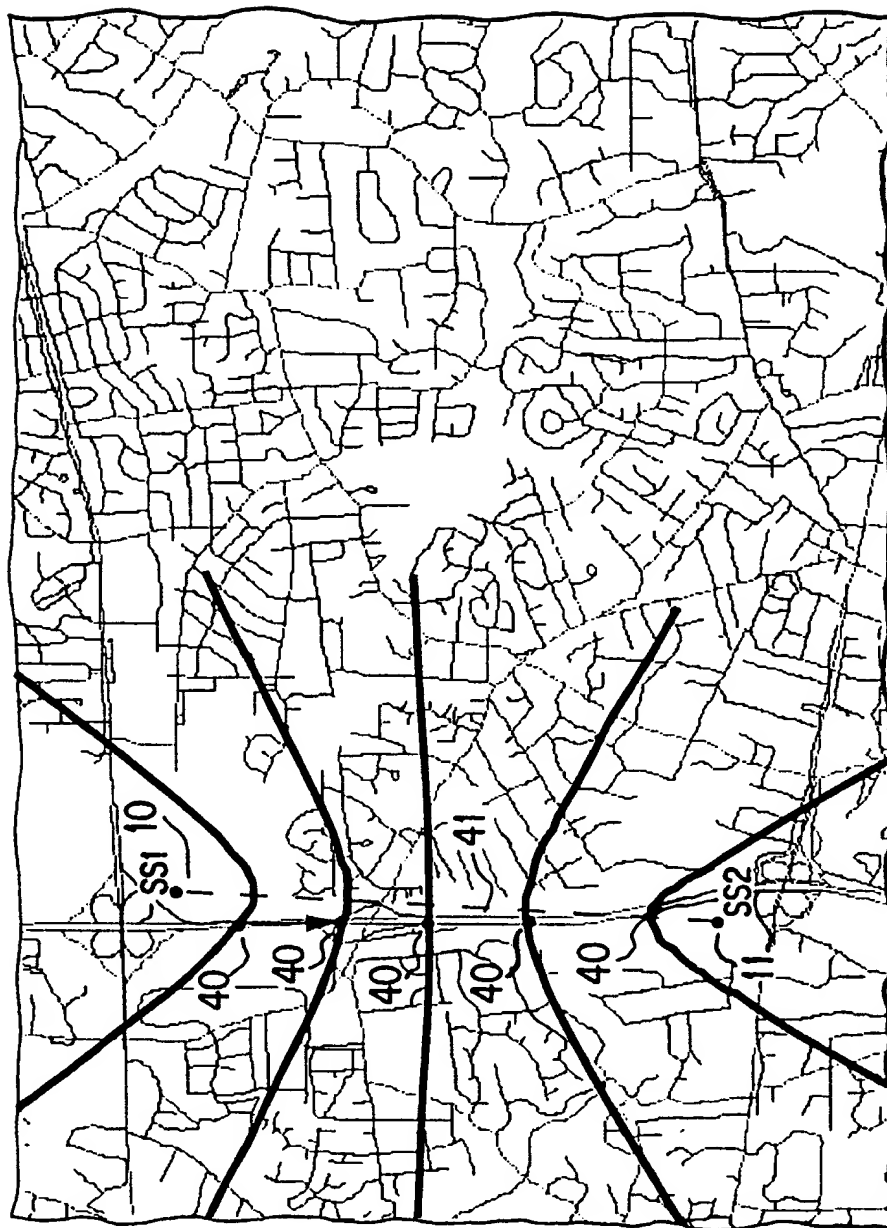


FIG. 4



5/5

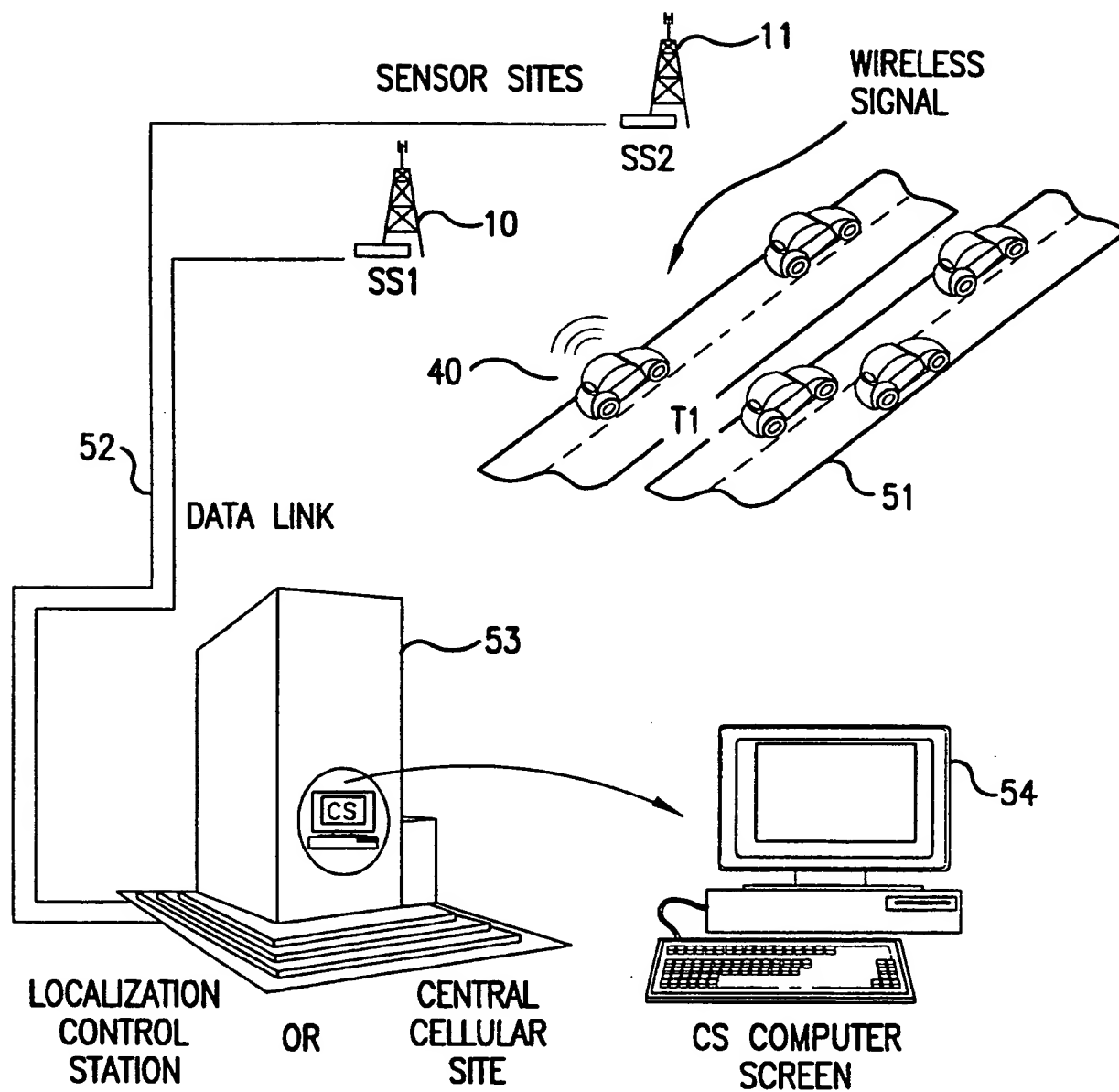


FIG.5

## INTERNATIONAL SEARCH REPORT

International Application No  
US 97/23864A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G01S5/12 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G01S H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0 335 558 A (MARCONI CO LTD) 4 October 1989 see column 1, line 1 - column 2, line 51; figure 1 ---	1-42
Y,P	GB 2 310 098 A (WESBY PHILIP BERNARD) 13 August 1997 see page 1, line 1 - page 2, line 16 see page 6, line 3 - page 6, line 29; figure 1 ---	1-42
Y	WO 96 14588 A (MULOC INC) 17 May 1996 see page 7, line 26 - page 16, line 2; figures 1-5 --- -/--	2-20, 22-42

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

25 March 1998

Date of mailing of the international search report

09.04.98

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Cannard, J-M

# INTERNATIONAL SEARCH REPORT

Inter. Application No.  
PCT/U/7/23864

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 95 00821 A (OMNIPLEX INC) 5 January 1995 see page 9, line 4 - page 11, line 7 see page 18, line 21 - page 19, line 36; figure 1 ---	1-42
A	DE 44 09 178 A (SIEMENS AG) 21 September 1995 see column 4, line 24 - column 10, line 60; figure 1 ---	1,21
X,P	WO 96 42179 A (PHONELINK PLC ;BURKE TREVOR (GB)) 27 December 1996  see page 7, line 12 - page 14, line 19; figure 1 ---	1-6, 8-11, 21-26, 28-31
A	WO 96 01531 A (KARBASI AMIR KIUMARS ;VAZVAN BEHRUZ (FI)) 18 January 1996 see page 2, line 25 - page 8, line 10; figure 1 -----	1,21

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
US 97/23864

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0335558 A	04-10-89	GB 2215932 A	27-09-89
		AU 3168789 A	28-09-89
		FI 891413 A	27-09-89
		JP 1298820 A	01-12-89
		PT 90113 A	10-11-89
-----			
GB 2310098 A	13-08-97	AU 1728997 A	28-08-97
		WO 9729386 A	14-08-97
-----			
WO 9614588 A	17-05-96	AU 4199596 A	31-05-96
		CA 2204125 A	17-05-96
		EP 0789847 A	20-08-97
-----			
WO 9500821 A	05-01-95	AU 7173694 A	17-01-95
-----			
DE 4409178 A	21-09-95	NONE	
-----			
WO 9642179 A	27-12-96	AU 5826396 A	09-01-97
		GB 2310974 A	10-09-97
-----			
WO 9601531 A	18-01-96	FI 941096 A	07-01-96
-----			